

Application of RE-89: What companies (potential research sponsors) are covered?

On February 5, 2008, President Dynes sent a letter to Chancellors --

http://www.ucop.edu/research/policies/documents/review_approval_re89.pdf

-- asking them to implement RE-89, a Regental resolution requiring adoption of special review, approval and reporting procedures for proposals to obtain research funding from the tobacco industry. The President's letter enclosed a model policy for campuses to consider adopting, which included the following definition of "tobacco industry," drawn from RE-89:

B. Definition of tobacco industry/Scope of policy: RE 89 defines "tobacco industry" as "entities whose principal business is the manufacture and sale of tobacco products, and agencies that are substantially controlled by or acting on behalf of such entities." The special review and approval requirements apply only to tobacco industry sponsors of research, and only to proposals submitted after September 2007 (new proposals and/or competitive renewals of current grants). The campus Office of Research (working with the UCOP Office of Research) can provide guidance as needed in interpreting/applying this definition.

UCOP does not maintain a comprehensive list of companies that are part of the "tobacco industry" (some companies, like RJ Reynolds and Philip Morris, are readily identified as "tobacco companies;" others, like the former Center for Indoor Air Research, may have an association with the tobacco industry that is not immediately apparent). However, to assist campuses in implementing RE-89, the Office of Research compiled the following list of companies that are or that have in the past been identified with the tobacco industry. *Please note that inclusion on this list does not definitively mean that a company falls under the definition of tobacco industry included in RE-89, nor does the fact that a company does not appear on this list mean that it would not be included within the scope of RE-89.* If campuses have questions about a particular sponsor, they may consult with UCOP's Office of Research for assistance in determining whether/how RE-89 applies.

Altadis Group (*acquired by Imperial Tobacco Group*)
AllianceOne International (*formerly Dimon Inc.*)
American Tobacco
Altria Group (*Parent company of Philip Morris*)
British American Tobacco Company, Ltd
Brown & Williamson Tobacco Corp.
Center for Indoor Air Research (*No longer in existence*)
China National Tobacco
The Council for Tobacco Research (*No longer in existence*)
Dimon Incorporated (*No longer in existence*)
~~Kraft Foods~~ [*Kraft was recently spun off from Altria, and so it appears that Kraft would not be covered by the RE-89 policy*]
Gallaher Group
Imperial Tobacco
Japan Tobacco Inc

Liggett & Brooke Group
Liggett & Myers, Inc.
Loews Corporation
Lorillard Tobacco Co., Inc.
Philip Morris
ResearchAmerican Tobacco Corporation
Reynolds American (*parent of RJ Reynolds*)
RJ Reynolds
RJR Nabisco, Inc. [*No longer in existence; now Nabisco Holdings Corp. Unclear if there is now any tobacco relationship that would trigger RE-89 provisions*].
Smokeless Tobacco Council
Standard Commercial Corporation
Star Scientific, Inc. (*Formerly Star Tobacco and Pharmaceuticals*)
Tobacco Associates
Tobacco Industry Research Committee
Tobacco Institute, Inc.
United States Tobacco Company
Universal Leaf Tobacco Co.
Vector Group (*successor to Brooke Partners*)